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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

John S. HENDRICKS et al.

Atty. Docket No. 026880-00024

Serial No.: 09/289,957

Examiner: John M. Winter

Filed: April 13, 1999

Art Unit: 3621

For: ELECTRONIC BOOK ALTERNATIVE DELIVERY SYSTEMS

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: September 28, 2006

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the information item(s) listed on the attached Form PTO-SB08. Unless otherwise indicated herein, one copy of each item(s) is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the item(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date, OR (b) before the mailing date of a first Office Action on the merits in the present application, OR (c) accompanies a Request for Continued Examination. No certification or fee is required.

2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).

b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

10/29/2006 PMERHE 026880-00024 09289957  
01 FC:1806

180.00 QP

c. A check in the amount of \$180.00 in payment of the fee under 37 CFR §1.17(p). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.

3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Attached is our check in the amount of \$180.00 in payment of the petition fee under 37 CFR §1.17(i)(1). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.

a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).

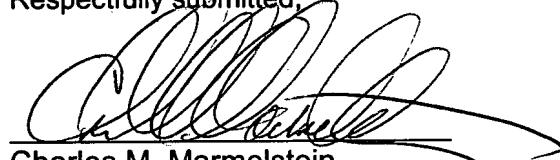
b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

4. The references were cited in corresponding foreign applications and related U.S. and foreign applications.

5. Copies of the foreign patent documents and the publications are on the attached CD.

In the event that any fees are due with respect to this paper, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 01-2300, referencing Atty. Docket No. 026880-00024.

Respectfully submitted,



Charles M. Marmelstein  
Registration No. 25,895

Customer No. 004372  
AREN'T FOX PLLC  
1050 Connecticut Avenue, N.W.,  
Suite 400  
Washington, D.C. 20036-5339  
Tel: (202) 857-6000; Fax: (202) 638-4810

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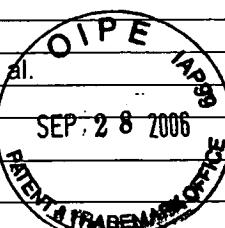
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Substitute for form 1449A/PTO

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**INFORMATION DISCLOSURE  
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**Form PTO/SB/08a**

Application Number	09/289,957
Filing Date	April 13, 1999
First Named Inventor	John S. HENDRICKS et al.
Art Unit	3621
Examiner Name	John M. Winter



SEP 28 2006  
U.S. PATENT AND TRADEMARK OFFICE

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**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		3,869,873		n/a	Ringstad	
		3,891,792		n/a	Kimura	
		3,978,470		n/a	McGuire	
		4,071,697		01-1978	Bushnell et al.	
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		4,398,216		n/a	Field et al.	
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		4,668,246		n/a	Eilers et al.	
		4,673,976			Wreford-Howard	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. <sup>3</sup>Enter Office that issued the document, by the two-letter code. <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

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Sheet 2 of 28 Attorney Docket Number 026880-00024

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		4,688,218		8/18/1987	Blineau et al.	
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		4,697,209		9/29/1987	Kiewit et al.	
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		4,965,825		10/23/1990	Harvey, et al.	
		4,975,951		12/4/1990	Bennett	
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		5,115,426		n/a	Spanke	
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		5,124,980		6/23/1992	Maki	
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		5,235,419		8/10/1993	Krause et al.	
		5,235,619		8/1993	Beyers et al.	

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		5,235,680		8/1993	Bijnagte	
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		5,339,239		8/16/1994	Manabe et al.	
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		5,341,474		8/23/1994	Gelman, et al.	
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Filing Date	April 13, 1999
First Named Inventor	John S. HENDRICKS et al.
Art Unit	3621
Examiner Name	John M. Winter

Attorney Docket Number 026880-00024

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Examiner Name	John M. Winter

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Examiner Name	John M. Winter

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Art Unit	3621
Examiner Name	John M. Winter

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				Application Number	09/289,957
				Filing Date	April 13, 1999
				First Named Inventor	John S. HENDRICKS et al.
				Art Unit	3621
				Examiner Name	John M. Winter
Sheet	13	of	28	Attorney Docket Number	026880-00024

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Examiner Initials*	Cite No <sup>1</sup>	Document Number	Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Application Number	09/289,957
Filing Date	April 13, 1999
First Named Inventor	John S. HENDRICKS et al.
Art Unit	3621
Examiner Name	John M. Winter

Sheet 14 of 28 Attorney Docket Number 026880-00024

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Country Code/Foreign Patent Document	Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
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First Named Inventor	John S. HENDRICKS et al.
Art Unit	3621
Examiner Name	John M. Winter

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Art Unit	3621
Examiner Name	John M. Winter

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**NON PATENT LITERATURE DOCUMENTS**

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				Art Unit	3621
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Sheet	20	of	28	Attorney Docket Number	026880-00024

**NON PATENT LITERATURE DOCUMENTS**

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				First Named Inventor	John S. HENDRICKS et al.
				Art Unit	3621
				Examiner Name	John M. Winter
Sheet	21	of	28	Attorney Docket Number	026880-00024

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